



Patent
Attorney Docket: 266/186

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 266/186
First Named Inventor: Neil H. Bander
Prior Application Information:
Serial No. 09/357,708
Prior Atty. Dkt. 242/028
Examiner: J. Hunt
Art Unit: 1642

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation

☐ divisional

☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/357,708 filed on July 20, 1999, which will issue as U.S. Patent No. _____ on _____, by

Neil H. Bander, entitled:

Treatment and Diagnosis of Cancer

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

I. APPLICATION ELEMENTS ENCLOSED

- 61 Page(s) of Written Description
6 Page(s) of Claims
1 Page(s) of Abstract
12 Sheet(s) of Drawings ☐ formal ☒ informal
3 Page(s) of ☐ Declaration or ☒ Declaration and Power of Attorney
☒ Copy from prior application [37 CFR §1.63(d)]
☐ Newly executed
4 Pages of Copy of Assignment papers (cover sheet and documents(s)).

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL360933802US
Express Mail Label No.

August 13, 2001
Date of Deposit
LA-205360.1

Felicia Reyes

Name of Person Mailing Paper

Felicia Reyes
Signature of Person Mailing Paper

- ☒ A Preliminary Amendment
- ☐ An Information Disclosure Statement, PTO 1449, ☐ with copies of cited items.
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35

II. FEE CALCULATION (AFTER CLAIM AMENDMENTS IN PRELIMINARY AMENDMENT)

- ☐ Applicant claims small entity status under 37 CFR 1.27.

BASIC FILING FEE:							\$710.00
Total Claims	20	-	20	=	0	x \$18.00	\$0.00
Independent Claims	2	-	3	=	0	x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)				<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS							\$710.00
Reduction by ½ for Filing by Small Entity.						<input type="checkbox"/>	\$0.00
Misc. Filing Fees (Recordation of Assignment)							\$0.00
TOTAL FEES DUE HEREWITH							\$710.00

III. PRIORITY - 35 USC § 119

- ☐ Priority of application Serial No. _____ filed on _____ in Country is claimed under 35 USC § 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. _____ on _____.
- ☐ The certified copy will follow.

IV. AMENDMENTS

- ☒ Cancel in this application original Claims 1 - 37 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

V. RELATE BACK - 35 USC § 120

☒ Relate back information included in preliminary amendment or specification.

☒ Please amend the specification as follows:

This application is a Continuation Application of Application Serial No. 09/357,708, filed on July 20, 1999; which is a Divisional of Application Serial No. 08/895,914, filed on July 17, 1997; which is a continuation-in-part of U.S. Patent Application Serial No. 08/838,682, filed April 9, 1997, which claims the benefit of U.S. Provisional Patent Application Serial No. 60/016,976, filed May 6, 1996; and U.S. Provisional Patent Application Serial No. 60/022,125, filed July 18, 1996.

☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:

☒ the same.

☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§1.33(b) AND 1.63(d)(2)]:

[Name(s) of inventor(s) to be deleted]

VI. METHOD OF PAYMENT OF FEES

☐ Attached is a check in the amount of ____.

☒ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$710.00.

☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

VII. AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. 12-2475 for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 12-2475:

☒ 37 CFR § 1.16 (Filing fees and excess claims fees)

☒ 37 CFR § 1.17 (Application processing fees)

☒ 37 CFR § 1.21 (Assignment recordation fees)

VIII. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS

☒ The power of attorney appears in the original papers in the prior application.

☐ The power does not appear in the original papers, but was filed on ____ in prior application Serial No. ____.

☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

Please direct all inquiries to Lois M. Kwasigroch, at the above Customer Number.

IX. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION

☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until _____. A copy of the petition for extension of time in the **prior** application is attached.

☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

X. ABANDONMENT OF PRIOR APPLICATION

☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

LYON & LYON LLP

Dated: August 13, 2001

By: 

Lois M. Kwasigroch
Reg. No. 35,579

Enclosures:

Cornell Research Foundation, Inc.
Name of Assignee

20 Thornwood Drive, Suite 105, Ithaca, NY 14850
Address of Assignee

Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO on November 3, 1997, Reel 8774, Frame 0934